

United States Senate

## Financial Disclosures

## Annual Report for Calendar 2013

**Mr. Mark Begich (Begich, Mark)**

Filed 05/13/2014 @ 11:57 AM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an amendment to this report.

☐ I omitted assets because they meet the three-part test for exemption.

**Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria**

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

**Part 2. Earned and Non-Investment Income**

Did you or your spouse have reportable earned income or non-investment income? **Yes**

Who Was Paid	Type	Who Paid	Amount Paid
Spouse	Salary	Kobuk Coffee Co Anchorage, Alaska	> \$1,000
Spouse	Salary	Sourdough Mercantile Anchorage, Alaska	> \$1,000
Self	Salary	US Senate Washington, Dc	\$174,000.00

**Part 3. Assets**

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

#	Asset	Asset Type	Owner	Value	Income	
					Type	Income

#	Asset	Asset Type	Owner	Value	Income	
					Type	Income
1	<b>Public Employees Retirement System</b>	Retirement Plans Defined Benefit Pension Plan	Spouse	\$15,001 - \$50,000	Excepted Investment Fund	\$1,001 - \$2,500
2	<b>Public Employees Retirement System</b>	Retirement Plans Defined Benefit Pension Plan	Self	\$100,001 - \$250,000	Excepted Investment Fund	\$5,001 - \$15,000
3	<b>AKUSA</b> F.C.U. Anchorage, Alaska Type: Checking, Savings	Bank Deposit	Joint	\$1,001 - \$15,000	Interest	None (or less than \$201)
4	<b>Wells Fargo</b> Anchorage, Alaska Type: Checking	Bank Deposit	Self	\$1,001 - \$15,000	Interest	None (or less than \$201)
5	<b>Denali</b> F.C.U. Anchorage, Alaska Type: Checking, Savings	Bank Deposit	Self	\$1,001 - \$15,000	Interest	None (or less than \$201)
6	<b>AKUSA</b> F.C.U. Anchorage, Alaska Type: Checking, Savings	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest	None (or less than \$201)
7	<b>Wells Fargo</b> Anchorage, Alaska Type: Checking	Bank Deposit	Joint	\$15,001 - \$50,000	Interest	None (or less than \$201)
8	<b>Federal Retirement Thrift Investment</b>	Retirement Plans Defined Contribution Pension Plan	Self	\$100,001 - \$250,000	Excepted Investment Fund	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income	
					Type	Income
9	<b>AKUSA</b> F.C.U. Anchorage, Alaska <i>Type: Savings</i>	Bank Deposit	Child	\$1,001 - \$15,000	Interest	None (or less than \$201)
10	<b>USAIX - USAA Income</b> <b>(NASDAQ)</b>	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Excepted Investment Fund	\$201 - \$1,000
11	<b>USIFX - USAA</b> <b>International (NASDAQ)</b>	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Excepted Investment Fund	\$201 - \$1,000
12	<b>US Senate Credit</b> <b>Union</b> Washington, D.C. <i>Type: Savings</i>	Bank Deposit	Child	\$1,001 - \$15,000	Interest	None (or less than \$201)
13	<b>The Ed Plan 9-11 years</b> <b>portfolio - mutual fund</b> <i>Institution: State of Alaska</i>	529 College Savings Account Savings	Child	\$1,001 - \$15,000	Excepted Investment Fund	\$1,001 - \$2,500
14	<b>NLY - Annaly Capital</b> <b>Management, Inc.</b> <b>(NYSE)</b> <i>Description: Annaly Capital Management Inc (New York, NY)</i>	Real Estate REIT	Child	\$1,001 - \$15,000	Excepted Investment Fund	\$1,001 - \$2,500
15	<b>PAA - Plains All</b> <b>American Pipeline, L.P.</b> <b>(NYSE)</b> <i>Filer comment: Wife's Retirement Account (SEP)</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
16	<b>SWY - Safeway Inc.</b> <b>(NYSE)</b> <i>Filer comment: Wife's Retirement Account (SEP)</i>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	Asset	Asset Type	Owner	Value	Income	
					Type	Income
17	<b><u>GGB</u> - Gerdau S.A. (NYSE)</b> <i>Filer comment: Wife's Retirement Account (SEP)</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends	None (or less than \$201)
18	<b><u>NBG</u> - National Bank of Greece SA (NYSE)</b> <i>Filer comment: Wife's Retirement Account (SEP)</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
19	<b><u>LRIQX</u> - Lazard US Realty Income Open (NASDAQ)</b> <i>Filer comment: Wife's Retirement Account (SEP)</i>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	\$1,001 - \$2,500
20	<b><u>NLY</u> - Annaly Capital Management, Inc. (NYSE)</b> <i>Description: Annaly Capital Management, Inc (New York, NY)</i>	Real Estate REIT	Spouse	\$15,001 - \$50,000	Excepted Investment Fund	\$2,501 - \$5,000
21	<b><u>NOK</u> - Nokia Corporation (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
22	<b><u>ALSK</u> - Alaska Communications Systems Group Inc. (NASDAQ)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
23	<b><u>ALU</u> - Alcatel-Lucent (NYSE)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
24	<b><u>ETFC</u> - E*TRADE Financial Corporation (NASDAQ)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500

#	Asset	Asset Type	Owner	Value	Income	
					Type	Income
25	<b><u>FRO</u> - Frontline Ltd. (NYSE)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
26	<b><u>KEY</u> - KeyCorp. (NYSE)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends, Capital Gains	\$1,001 - \$2,500
27	<b><u>KRFT</u> - Kraft Foods Group, Inc. (NASDAQ)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28	<b><u>MDLZ</u> - Mondelez International, Inc. (NASDAQ)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
29	<b><u>NBG</u> - National Bank of Greece SA (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
30	<b><u>NYCB</u> - New York Community Bancorp Inc. (NYSE)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
31	<b><u>QTTW</u> - Quantum Fuel Systems Technologies Worldwide Inc. (NASDAQ)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends	None (or less than \$201)
32	<b><u>RGC</u> - Regal Entertainment Group (NYSE)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
33	<b><u>XRX</u> - Xerox Corporation (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
34	<b><u>ACUS</u> - Acusphere, Inc. (OTC Markets)</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income	
					Type	Income
35	<b><u>ALU</u> - Alcatel-Lucent (NYSE)</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
36	<b><u>IMH</u> - Impac Mortgage Holdings Inc. (AMEX)</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
37	<b><u>KERX</u> - Keryx Biopharmaceuticals Inc. (NASDAQ)</b>	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains	\$50,001 - \$100,000
38	<b><u>NBG</u> - National Bank of Greece SA (NYSE)</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
39	<b><u>NLY</u> - Annaly Capital Management, Inc. (NYSE)</b> <i>Description:</i> Annaly Capital Management (New York, NY) <i>Filer comment:</i> Wife's Retirement Account (SEP)	Real Estate REIT	Spouse	\$15,001 - \$50,000	Excepted Investment Fund	\$5,001 - \$15,000
40	<b><u>MAC</u> - The Macerich Company (NYSE)</b> <i>Description:</i> The Macerich Company (Santa Monica, CA) <i>Filer comment:</i> Wife's Retirement Account	Real Estate REIT	Spouse	\$15,001 - \$50,000	Excepted Investment Fund	\$201 - \$1,000
41	<b><u>NLY</u> - Annaly Capital Management, Inc. (NYSE)</b> <i>Description:</i> Annaly Capital Management (New York, NY)	Real Estate REIT	Self	\$15,001 - \$50,000	Excepted Investment Fund	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income	
					Type	Income
42	<b>CIM - Chimera Investment Corporation (NYSE)</b> <i>Description:</i> Chimera Investment Corporation (New York, NY)	Real Estate REIT	Self	\$15,001 - \$50,000	Excepted Investment Fund	\$1,001 - \$2,500
43	<b>ETFC - E*TRADE Financial Corporation (NASDAQ)</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
44	<b>Caribou Hills Subdivision</b> <i>Description:</i> Vacant Land (Homer, AK)	Real Estate Unimproved Land	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
45	<b>Escrow</b> <i>Company:</i> Carson City Holding LLC (Carson City, NV)	Accounts Receivable From a Business	Self	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
46	<b>Sourdough Mercantile ,DBA Mosquito Books,Mosellaneous, AK Company</b> <i>Company:</i> Sourdough Mercantile ,DBA Mosquito Books,Mosellaneous, AK Company (Anchorage, AK) <i>Description:</i> Retail Business	Business Entity Limited Liability Company (LLC)	Spouse	\$100,001 - \$250,000	Dividends	\$100,001 - \$1,000,000

#	Asset	Asset Type	Owner	Value	Income	
					Type	Income
47	<b>Begich Apartments DBA Ak. Commercial Investments</b> <i>Company:</i> Begich Apartments DBA Ak. Commercial Investments (Anchorage, AK) <i>Description:</i> Inactive <i>Filer comment:</i> This solely owned by filer	Business Entity General Partnership	Self	\$1,001 - \$15,000	None	None (or less than \$201)
48	<b>Carson City Holding LLC</b> <i>Company:</i> Carson City Holding LLC (Carson City , NV) <i>Description:</i> Real Estate/ Resort <i>Filer comment:</i> I own 20%	Business Entity Limited Liability Company (LLC)	Self	\$500,001 - \$1,000,000	None	None (or less than \$201)
49	<b>Hot Springs Limited</b> <i>Company:</i> Hot Springs Limited (Carson City , NV) <i>Description:</i> Realestate/resort	Business Entity Limited Liability Partnership (LLLP)	Joint	\$500,001 - \$1,000,000	None	None (or less than \$201)
50	<b>Kobuk Coffee Company</b> <i>Company:</i> Kobuk Coffee Company (Anchorage, AK) <i>Description:</i> Retail	Business Entity Limited Liability Company (LLC)	Spouse	\$15,001 - \$50,000	Dividends	\$15,001 - \$50,000
51	<b>Alaska Permanent Fund</b> <i>Description:</i> Investment Fund (Juneau, AK) <i>Filer comment:</i> This a fund that pays every Alaskan resident a dividend	Other Securities	Self	Unascertainable	Dividends	\$201 - \$1,000



#	Asset	Asset Type	Owner	Value	Income	
					Type	Income
52	<b>Alaska Permanent Fund</b> <i>Description:</i> Investing (Juneau, AK) <i>Filer comment:</i> This a fund that pays every Alaskan resident a dividend	Other Securities	Spouse	Unascertainable	Dividends	\$201 - \$1,000
53	<b>Alaska Permanent Fund</b> <i>Description:</i> Investing (Juneau, AK) <i>Filer comment:</i> This a fund that pays every Alaskan resident a dividend	Other Securities	Child	Unascertainable	Dividends	\$201 - \$1,000
54	<b><u>AWP</u> - Alpine Global Premier Propertie (NYSE)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund	\$201 - \$1,000
55	<b><u>AOD</u> - Alpine Total Dynamic Dividend F (NYSE)</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund	\$1,001 - \$2,500
56	<b><u>FT</u> - Franklin Universal Trust Common (NYSE)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund	\$201 - \$1,000
57	<b><u>LRIOX</u> - Lazard US Realty Income Open (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund	\$1,001 - \$2,500
58	<b><u>AGD</u> - Alpine Global Dynamic Dividend (NYSE)</b>	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income	
					Type	Income
59	<b><u>AOD</u> - Alpine Total Dynamic Dividend F (NYSE)</b>	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
60	<b><u>EAD</u> - Wells Fargo Advantage Income Opportunities Fund (AMEX)</b> <i>Filer comment: Wife's Retirement Account (SEP)</i>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
61	<b><u>ETFC</u> - E*TRADE Financial Corporation (NASDAQ)</b>	Corporate Securities Stock	Child	\$1,001 - \$15,000	None	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

Have you filed related paper-based Periodic Transaction Reports (PTR) in this reporting period? **Yes**

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
Self	<u>KERX</u>	Keryx Biopharmaceuticals Inc. (NASDAQ)	Purchase	01/28/2013	\$1,001 - \$15,000	--
Self	<u>KERX</u>	Keryx Biopharmaceuticals Inc. (NASDAQ)	Sale (Partial)	01/30/2013	\$15,001 - \$50,000	--
Self	<u>KERX</u>	Keryx Biopharmaceuticals Inc. (NASDAQ)	Sale (Partial)	02/01/2013	\$15,001 - \$50,000	--

Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
Spouse	<u>EAD</u>	Wells Fargo Advantage Income Opportunities Fund (AMEX)	Purchase	02/25/2013	\$1,001 - \$15,000	--
Self	<u>ACUS</u>	Acusphere, Inc. (OTC Markets)	Purchase	02/28/2013	\$1,001 - \$15,000	--
Child	<u>ETFC</u>	E*TRADE Financial Corporation (NASDAQ)	Sale (Partial)	03/13/2013	\$1,001 - \$15,000	--
Self	<u>AWP</u>	Alpine Global Premier Propertie (NYSE)	Purchase	03/25/2013	\$1,001 - \$15,000	--
Self	<u>CIM</u>	Chimera Investment Corporation (NYSE)	Purchase	03/25/2013	\$15,001 - \$50,000	--
Self	<u>AOD</u>	Alpine Total Dynamic Dividend F (NYSE)	Purchase	03/25/2013	\$15,001 - \$50,000	--
Self	<u>ALU</u>	Alcatel-Lucent (NYSE)	Purchase	03/25/2013	\$1,001 - \$15,000	--
Self	<u>FT</u>	Franklin Universal Trust Common (NYSE)	Purchase	03/25/2013	\$1,001 - \$15,000	--
Child	<u>AGD</u>	Alpine Global Dynamic Dividend (NYSE)	Purchase	03/25/2013	\$1,001 - \$15,000	--
Child	<u>AOD</u>	Alpine Total Dynamic Dividend F (NYSE)	Purchase	03/25/2013	\$1,001 - \$15,000	--
Spouse	<u>ALU</u>	Alcatel-Lucent (NYSE)	Purchase	04/05/2013	\$1,001 - \$15,000	--
Spouse	<u>NBG</u>	National Bank of Greece SA (NYSE)	Purchase	04/12/2013	\$1,001 - \$15,000	--
Self	<u>NBG</u>	National Bank of Greece SA (NYSE)	Purchase	04/12/2013	\$1,001 - \$15,000	--

Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
Spouse	<u>NBG</u>	National Bank of Greece SA (NYSE)	Purchase	07/18/2013	\$1,001 - \$15,000	Purchased for wife's retirement account (SEP)
Child	<u>NLY</u>	Annaly Capital Management, Inc. (NYSE)	Purchase	07/19/2013	\$1,001 - \$15,000	--
Child	<u>ETFC</u>	E*TRADE Financial Corporation (NASDAQ)	Sale (Full)	07/19/2013	\$1,001 - \$15,000	--
Self	<u>NBG</u>	National Bank of Greece SA (NYSE)	Purchase	07/19/2013	\$1,001 - \$15,000	--
Self	<u>ETFC</u>	E*TRADE Financial Corporation (NASDAQ)	Sale (Partial)	09/04/2013	\$1,001 - \$15,000	--
Spouse	<u>EAD</u>	Wells Fargo Advantage Income Opportunities Fund (AMEX)	Purchase	09/10/2013	\$1,001 - \$15,000	purchased for wife's retirement account (SEP)
Spouse	<u>NBG</u>	National Bank of Greece SA (NYSE)	Purchase	09/18/2013	\$1,001 - \$15,000	--
Spouse	<u>ETFC</u>	E*TRADE Financial Corporation (NASDAQ)	Sale (Partial)	09/19/2013	\$1,001 - \$15,000	--
Self	<u>AOD</u>	Alpine Total Dynamic Dividend F (NYSE)	Purchase	11/01/2013	\$1,001 - \$15,000	--
Spouse	<u>FRO</u>	Frontline Ltd. (NYSE)	Purchase	11/01/2013	\$1,001 - \$15,000	--
Spouse	<u>KEY</u>	KeyCorp. (NYSE)	Sale (Partial)	11/01/2013	\$1,001 - \$15,000	--
Spouse	<u>EAD</u>	Wells Fargo Advantage Income Opportunities Fund (AMEX)	Purchase	11/01/2013	\$1,001 - \$15,000	Purchased for wife's retirement fund (SEP)

Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
Self	<u>NLY</u>	Annaly Capital Management, Inc. (NYSE)	Purchase	11/22/2013	\$1,001 - \$15,000	--
Self	<u>ETFC</u>	E*TRADE Financial Corporation (NASDAQ)	Sale (Partial)	11/22/2013	\$1,001 - \$15,000	--
Spouse	<u>ETFC</u>	E*TRADE Financial Corporation (NASDAQ)	Sale (Partial)	12/26/2013	\$1,001 - \$15,000	--

**Part 5. Gifts**

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

**Part 6. Travel**

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

**Part 7. Liabilities**

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
2003	Spouse	Other	-	4.9% (10 Years)	\$100,001 - \$250,000	Evergreen Anchorage, Alaska	Loan - Commercial Property
2010	Spouse	Other	-	4% (15 Years)	\$100,001 - \$250,000	Louis/Herta Bonito Trust Anchorage, Alaska	Loan - Commercial Property
2012	Joint	Mortgage	0	4.125% (30 Years)	\$500,001 - \$1,000,000	Wells Fargo Washington, DC	-
2011	Joint	Mortgage	0	3.75% (20 Years)	\$100,001 - \$250,000	Wells Fargo Anchorage, Alaska	-

Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
2011	Joint	Home Equity Line of Credit	-	4.62% (11 Years)	\$100,001 - \$250,000	Wells Fargo Washington, D.C.	-
2011	Spouse	Line of Credit	-	6.0% (1 year)	\$50,001 - \$100,000	Wells Fargo Anchorage, Alaska	This loan rolls over and has adjustable rates
2007	Joint	Other	-	7.65% (10 Years)	\$500,001 - \$1,000,000	Wells Fargo Carson City, NV	Loan - Commercial Property

## Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

Dates	Position Held	Organization	Organization Type	Comments
Jan 1990 to present	Proprietor	Begich ApartmentsDBA AK. Commercial Investments Anchorage, Alaska	Company	Inactive
Jan 1999 to present	Partner	Carson City Holding Carson City , NV	Corporation	I am Managing Partner/ Wife is member
Jan 1999 to present	Partner	Hot Springs Limited LLP (Joint) Carson City, NV	Partnership	I am the General Partner/Wife is a Member
Jan 1993 to present	Officer	Kobuk Coffee (Spouse) Anchorage, Alaska	Corporation	Wife is President of Company
Jan 1995 to present	Officer	Sourdough Mercantile (Spouse) Anchorage, Alaska	Corporation	Wife is President of Corporation
Jan 2010 to present	Director	Truman Scholarship Washington, D.C.	Nonprofit Organization	I am a Board Member

**Part 9. Agreements**

Did you have any reportable agreement or arrangement with an outside entity? **No**

**Part 10. Compensation**

**If this is your first report, or you are a candidate** did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **No**

**Attachments & Comments**

*No attachments added.*

**Comments**

Wife's salary for Sourdough is \$96,000. Wife's salary for Kobuk was \$14,500. This is not required to be listed in this detail.

**Private Comments**

Two corrections that should be made to the paper periodic disclosures that I filed. First is for March 21, 2013. This was a duplicate, this was listed as item one on the February 27, 2013 disclosure form. The second item on the April 25, 2013 disclosure form, item 6 (DC) Alpine Global Premier Mutual Fund, should have been Alpine Global Dynamic Dividend (AGD).